

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5 : A61K 43/00, C12Q 1/68 C07H 15/12, A01N 43/04	A1	(11) International Publication Number: WO 92/11033 (43) International Publication Date: 9 July 1992 (09.07.92)
(21) International Application Number: PCT/US91/09651 (22) International Filing Date: 19 December 1991 (19.12.91) (30) Priority data: 633,626 20 December 1990 (20.12.90) US (71) Applicants: ARCH DEVELOPMENT CORPORATION [US/US]; 1115-25 East 58th Street, The University of Chicago, Chicago, IL 60637 (US). DANA-FARBER CANCER INSTITUTE [US/US]; 44 Binney Street, Boston, MA 02115 (US). (72) Inventors: WEICHSELBAUM, Ralph, R. ; 2031 North Sedgwick, Chicago, IL 60614 (US). HALLAHAN, Dennis, E. ; 5343 North Moody, Chicago, IL 60630 (US). SUKHATME, Vikas, P. ; 1511 East 56th Street, Chicago, IL 60637 (US). KUFFE, Donald, W. ; 179 Grove Street, Wellesley, MA 02181 (US).		(74) Agent: PARKER, David, L.; Arnold, White & Durkee, P.O. Box 4433, Houston, TX 77210 (US). (81) Designated States: AT (European patent), AU, BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), MC (European patent), NL (European patent), SE (European patent). Published <i>With international search report.</i>
(54) Title: CONTROL OF GENE EXPRESSION BY IONIZING RADIATION (57) Abstract This invention relates to genetic constructs which comprise an enhancer-promoter region which is responsive to radiation, and at least one structural gene whose expression is controlled by the enhancer-promoter. This invention also relates to methods of destroying, altering, or inactivating cells in target tissue by delivering the genetic constructs to the cells of the tissues and inducing expression of the structural gene or genes in the construct by exposing the tissues to ionizing radiation. This invention is useful for treating patients with cancer, clotting disorders, myocardial infarction, and other diseases for which target tissues can be identified and for which gene expression of the construct within the target tissues can alleviate the disease or disorder.		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France	MN	Mongolia
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Faso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GN	Guinea	NL	Netherlands
BJ	Benin	GR	Greece	NO	Norway
BR	Brazil	HU	Hungary	PL	Poland
CA	Canada	IT	Italy	RO	Romania
CF	Central African Republic	JP	Japan	SD	Sudan
CG	Congo	KP	Democratic People's Republic of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SN	Senegal
CI	Côte d'Ivoire	LI	Liechtenstein	SU ⁺	Soviet Union
CM	Cameroon	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LJ	Luxembourg	TG	Togo
DE*	Germany	MC	Monaco	US	United States of America
DK	Denmark				

⁺ Any designation of "SU" has effect in the Russian Federation. It is not yet known whether any such designation has effect in other States of the former Soviet Union.

-1-

CONTROL OF GENE EXPRESSION BY IONIZING RADIATION

This invention relates to methods of controlling gene expression by radiation responsive genetic
5 constructs. This invention also relates to methods and compositions for destroying, altering, or inactivating target tissues. These tissues may be disease-related, for example, tumors, or blood clots, or they may have a metabolic deficiency or abnormality. An aspect of this
10 invention is to deliver radiation responsive genetic constructs to target tissues and to activate the genes in said constructs by exposing the tissues to external ionizing radiation.

15 Certain genes may play a role in the cellular response to stress or DNA-damaging agents. For example, metallothionein I and II, collagenase, and plasminogen activator are induced after UV irradiation (Angel, et al., 1986; 1987; Fornace, et al., 1988a and b; Miskin, et
20 al., 1981). B2 polymerase III transcripts are increased following treatment by heat shock (Fornace, et al., 1986; 1989a). Furthermore, although the level of DNA polymerase β mRNA is increased after treatment with DNA-damaging agents, this transcript is unchanged following
25 irradiation, suggesting that specific DNA-damaging agents differentially regulate gene expression (Fornace, et al., 1989b). Protooncogene c-fos RNA levels are elevated following treatment by UV, heat shock, or chemical carcinogens (Andrews, et al., 1987; Hollander, et al.,
30 1989a). In this regard, the relative rates of fos transcription during heat shock are unchanged, suggesting that this stress increased c-fos RNA through posttranscriptional mechanisms (Hollander, et al., 1989b).

35

Investigations of the cytotoxic effects of ionizing

radiation has focused on the repair of DNA damage or the modification of radiation lethality by hypoxia (Banura, et al., 1976; Moulder, et al., 1984). In prokaryotes and lower eukaryotes, ionizing radiation has been shown to induce expression of several DNA repair genes (Little, et al., 1982); however, induction of gene expression by ionizing radiation has not been described in mammalian cells. DNA-damaging agents other than x-rays induce expression of a variety of genes in higher eukaryotes (Fornace, et al., 1988, 1989; Miskin, et al., 1981).

What is known about the effects of ionizing radiation is that DNA damage and cell killing result. In many examples, the effects are proportional to the dose rate. Ionizing radiation has been postulated to induce multiple biological effects by direct interaction with DNA or through the formation of free radical species leading to DNA damage (Hall, 1988). These effects include gene mutations, malignant transformation, and cell killing. Although ionizing radiation has been demonstrated to induce expression of certain DNA repair genes in some prokaryotic and lower eukaryotic cells, little is known about the effects of ionizing radiation on the regulation of mammalian gene expression (Borek, 1985). Several studies have described changes in the pattern of protein synthesis observed after irradiation of mammalian cells. For example, ionizing radiation treatment of human malignant melanoma cells is associated with induction of several unidentified proteins (Boothman, et al., 1989). Synthesis of cyclin and coregulated polypeptides is suppressed by ionizing radiation in rat REF52 cells but not in oncogene-transformed REF52 cell lines (Lambert and Borek, 1988). Other studies have demonstrated that certain growth factors or cytokines may be involved in x-ray-induced DNA damage. In this regard, platelet-derived growth factor

-3-

is released from endothelial cells after irradiation
(Witte, et al., 1989).

Initiation of mRNA synthesis by DNA is a critical
5 control point in the regulation of cellular processes and
depends on bindings of certain transcriptional regulatory
factors to specific DNA sequences. However, little is
known about the regulation of transcriptional control by
ionizing radiation exposure in eukaryotic cells. The
10 effects of ionizing radiation on posttranscriptional
regulation of mammalian gene expression are also unknown.

Many diseases, conditions, and metabolic
deficiencies would benefit from destruction, alteration,
15 or inactivation of affected cells, or by replacement of a
missing or abnormal gene product. In certain situations,
the affected cells are focused in a recognizable tissue.
Current methods of therapy which attempt to seek and
destroy those tissues, or to deliver necessary gene
20 products to them, have serious limitations. For some
diseases, e.g., cancer, ionizing radiation is useful as a
therapy. Methods to enhance the radiation, thereby
reducing the necessary dose, would greatly benefit cancer
patients. Therefore, methods and compositions were
25 sought to enhance radiation effects by investigating
effects of radiation on gene expression. A goal was to
provide new types of therapy using radiation, and to
explore other uses of radiation.

30 In this invention, control exerted over gene
expression by a promoter-enhancer region, which is
responsive to ionizing radiation, is used as a switch to
selectively introduce gene products to distinct tissue
targets, providing opportunities for therapeutic
35 destruction, alteration, or inactivation of cells in
target tissues. These promoter-enhancer regions control

gene expression through application of a radiation trigger.

More particularly, this invention relates to methods
5 and compositions for treating diseases and conditions for
which destruction, alteration or inactivation of cells in
affected tissues would alleviate the disease or
condition. The methods comprise delivering a genetic
construct to cells of the host tissue and subsequently
10 exposing the tissue to ionizing radiation. A region of
the genetic construct is capable of being induced by
ionizing radiation. Exposing the tissue to ionizing
radiation, therefore, induces the expression of the
genetic construct. The gene product is then capable of
15 destroying, altering, or inactivating the cells in the
tissue. The gene product chosen for treatment of factor
deficiencies or abnormalities, is one that provides the
normal n factor.

20 An illustrative embodiment of the genetic construct
comprises a combination of a radiation responsive
enhancer-promoter region and a region comprising at least
one structural gene. The enhancer-promoter region drives
the expression of a structural gene in the form of a
25 reporter-effector gene appropriate for the disease or
condition in the host.

The general composition of the construct comprises a
radiation inducible promoter-enhancer region and a
30 structural gene region. In an illustrative embodiment,
the promoter is 5' to the structural gene region. In
this embodiment, amplification of the final response does
not occur. Rather there is a direct correlation between
regulation of the radiation sensitive region and the
35 structural gene. The inducible region is turned on by
radiation exposure, but will turn off at some point after

-5-

the radiation exposure ceases. Expression of the structural gene region is limited by exposure time and the inherent quantitative limits of the expression region.

5

In a preferred embodiment, to amplify the expression of the gene construct and to extend expression beyond exposure time, a cascade of promoters and expressing genes are contemplated, for example, two plasmids. The first plasmid comprises the radiation sensitive promoter 5' of an appropriate transcription factor. In an embodiment of a transcription factor, the first plasmid comprises a powerful activation domain, for example, that obtained from the herpes virus VP16. This domain contains many negatively charged residues. A chimeric protein is contemplated in this embodiment comprising the VP16 activation domain and a DNA binding domain of a known protein, for example, the lac repressor. The chimeric protein/gene construct (a fusion gene) is driven from a radiation sensitive promoter.

The second plasmid construct in the preferred embodiment comprises several binding sites for the lac repressor DNA binding domain. These binding sites are placed upstream of a reporter-effector gene, for example, TNF. Alternatively, the two plasmids described above could be merged into one construct.

The use of a cascade of promoters and two expressing genes as the genetic construct has several advantages:

- (1) the promoter does not have to provide strong activation because amplification of the initial radiation sensitive promoter effect is provided through action of the subsequent genetic cascade;
- (2) several genes may be included in the construct

- to provide more complex or more extensive action. In an illustrative embodiment, several toxin producing genes may be placed 3' of the appropriate DNA binding sites. An embodiment of a multiple gene construct comprises the DNA binding domain of the lac repressor followed by several genes which produce various regulators of cell growth; and
- (3) the effect due to the initial ionizing radiation may be temporarily prolonged; that is, if the half-life of the chimeric lac repressor protein were long, for example, hours or day, compared to the radiation exposure time during which promoter RNA is released, the effect of the genetic construct on the cell is prolonged.

The genetic construct of this invention is incorporated into the cells of a target tissue by any method which incorporates the construct without inhibiting its desired expression and control over that expression by radiation. These methods comprise electroporation, lipofection, or retroviral methodology.

Retroviruses used to deliver the constructs to the host target tissues generally are viruses in which the 3' LTR (linear transfer region) has been inactivated. That is, these are enhancerless 3'LTR's, often referred to as SIN (self-inactivating viruses) because after productive infection into the host cell, the 3'LTR is transferred to the 5' end and both viral LTR's are inactive with respect to transcriptional activity. A use of these viruses well known to those skilled in the art is to clone genes for which the regulatory elements of the cloned gene are inserted in the space between the two LTR's. An advantage of a viral infection system is that it allows

-7-

for a very high level of infection into the appropriate recipient cell, e.g., LAK cells.

For purposes of this invention, a radiation responsive enhancer-promoter which is 5' of the appropriate structural gene region, for example, a lymphokine gene, or a transcriptional activator, may be cloned into the virus.

10 The constructs are delivered into a host by any method that causes the constructs to reach the cells of the target tissue, while preserving the characteristics of the construct used in this invention. These methods comprise delivering the construct by intravenous
15 injection, injection directly into a target tissue, or incorporation into cells which have been removed from the host. In the latter case, after *in vitro* incorporation of the constructs into the recipient cells, the cells containing the construct are reintroduced into the host.
20 Depending on the type of recipient cell, the distribution of the cells in the host will vary - in some cases being focused to a specific area, for example, where cells are directed to a tumor or clot, in other cases diffusing through an entire system such as the bone marrow. Even
25 when the cells carrying the genetic construct have dispersed over a wide area of the host, focusing the desired action of the construct on a target tissue can be provided by directing the ionizing radiation used to switch on the construct, to a limited area. Only the
30 cells within the beam will react and cause expression of the construct genes.

Another method of focusing the genetic action of the construct, or homing it into particular body regions, is
35 to tag the construct with a radioisotope or other label and determine when the construct bearing cells have

reached the target tissue by detecting the label geographically. The radiation is turned on when the construct reaches the target, and directed to the labelled direction.

5

The type of recipient cells used to incorporate the radiation inducible genetic constructs are selected based on the objective of the treatment. In an exemplary embodiment, LAK cells are used for patients in which
10 tumor-directed attack is the main objective. In another embodiment, endothelial cells are used to deliver genes for gene therapy, for example, to treat genetically abnormal fetuses with a metabolic deficiency or abnormality. Cells derived from peripheral blood are
15 also suitable recipient cells.

In an exemplary embodiment of the genetic construct, there are several steps leading to expression of the structural gene in the host tissues. In these
20 constructs, there is a radiation sensitive promoter which causes (drives) the expression of a transcription factor. The transcription factor activates a reporter construct which includes an effector appropriate for the disease or condition of the host. The expression production of the
25 effector gene interacts in a therapeutic fashion with the diseased, deficient or abnormal cells without a target tissue.

In an exemplary embodiment, toxins which are capable
30 of killing tumor cells are put into LAK cells or other cellular/molecular vehicles by incorporating into the cells a vector comprising a radiation inducible or responsive promoter-enhancer region and a structural gene region. Examples of a radiation responsive promoter-enhancer region comprise that derived from, for example,
35 c-jun or TNF- α . Examples of structural genes comprise

those expressed as tumor necrosis factor (TNF), ricin, or various growth factors including, but not limited to, IL-1-6, PDGF (platelet derived growth factor) or FGF (fibroblast growth factor). Diseases for which this embodiment of a construct is useful comprise cancers. Types of cancers which would benefit from this form of treatment comprise solid and hematologic malignancies. Specific cancers include head and neck adenocarcinomas.

10 An embodiment of genetic construct comprises a radiation sensitive promoter coupled to an appropriate reporter, for example, β -galactosidase. The construct is transferred to a recipient cell. In general, many recipient cells are prepared in this fashion. The
15 recipient cells are then introduced into a mammal. In an illustrative example, endothelial cells are used as the recipient cells. These cells are then transplanted into an appropriate blood vessel in which the action of the construct within the cells is desired. Radiation is
20 delivered to an area of the body including that blood vessel. Expression of the β -galactosidase is monitored by chromogenic assays such as Xgal.

25 An embodiment of a structural gene which acts as a reporter-effector gene comprises that which is expressed as the tumor necrosis factor (TNF). Increased TNF- α production by human sarcomas after x-irradiation is evidence for the direct cytotoxic effects of this polypeptide on human tumor cells (Sugarman, 1985; Old,
30 1985). The intracellular production of TNF- α within irradiated tumor cells results in lethality to the cell after x-ray exposure that is greater than the lethality produced by the direct effects of ionizing radiation alone.

35

The additive and synergistic effects, the latter

occurring if TNF is provided before radiation, of TNF- α on tumor killing by radiation supports potential applications for the use of TNF- α in clinical radiotherapy. TNF- α potentiates the cellular immune response (Bevelacqua, et al., 1989; Sersa, et al., 1988). In vivo studies have shown that TNF- α enhances tumor control by x-rays in mice with implanted syngeneic tumors by the augmentation of the host's immune system (Sersa, et al., 1988). Therefore, TNF- α may reverse immune suppression, which often accompanies radiotherapy. TNF- α also causes proliferation of fibroblasts and endothelial destruction, suggesting that TNF- α production by tumors may be one component responsible for the late radiation effects in surrounding normal tissue. Turning on this gene within a genetic construct by radiation allows directed attack on diseased tissues.

In addition to killing tumor cells by treatment with TNF, a goal is to protect normal tissues adjacent to the target tissue from radiation effects and deleterious action of various cytotoxins during cancer or other therapy. Solid and hemologic malignancies and aplastic anemia, are conditions for which this is a concern. Genes in the structural region of the genetic construct of this invention that are appropriate for this protective goal, include lymphokines, GCSF, CMSF, and erythropoietin.

The goal of cancer treatment is not only to kill cells at a specific target, but to inhibit metastasis. For this purpose, one of the genes appropriate for inclusion in the genetic construct is NM23.

Prevention of secondary malignancies which are and unfortunate side effect of standard radiotherapy and chemotherapy, is assisted by treatment with a construct

-11-

comprising tumor suppressor genes.

5 This invention has uses in diseases and conditions other than cancer. For patients with clotting disorders, Factor VIII or other factors necessary for the complex process of clot formation, may be introduced into cells deficient for the missing factor.

10 Conversely, in conditions such as myocardial infarction, central nervous system or peripheral thrombosis, anticlotting factors introduced via the genetic constructs of this invention, are used to dissolve the clots. Embodiments of the expression products of such genes include streptokinase and
15 urokinase.

Other categories of diseases or conditions for which there is a deficiency due to either a genetic or environmental factor, include the hemoglobinopathies such
20 as sickle cell anemia, for which genes producing normal hemoglobin are included in the treatment construct; neurodegenerative diseases such as Alzheimer's disease for which genes expressed as nerve growth factors are included in the construct; and diabetes, for which
25 insulin producing genes may be included in the construct.

Genetic diseases caused by defects in the genetic pathways effecting DNA repair, e.g., ataxia telangiectasia, xeroderma pigmentosum, are treated by the
30 introduction of genes such as ERCC-1 or XRCC-1.

Although the practice of this invention requires exposure to radiation, an agent which in itself may adversely affect cells, the dose is relatively low,
35 administered for brief periods of time, and focused. For many of the diseases and conditions for which this

-12-

invention is appropriate, radiation treatment is standard, and practice of this invention will reduce the necessary dose, which reduces risk of the radiation treatment per se. For diseases which usually do not require radiation, use of radiation in the methods described in this invention will replace another therapy. Decision on use of this invention will be based on a risk/benefit analysis.

10

Definitions

Effector Gene - a gene whose expression product produces the desired effect in the recipient cells and target tissues.

15

Enhancer Gene or Element - a *cis*- acting nucleic acid sequence that increases the utilization of some eukaryotic promoters, and can function in either orientation and in any location (upstream or downstream) relative to the promoter.

LAK Cells - lymphocyte activated killer cells.

20

Promoter - a region of DNA involved in binding RNA polymerase to initiate transcription.

Reporter Gene - a gene whose expression product is readily detectable and serves as a marker for the expression of induction.

25

Structural Gene - a gene coding for a protein with an effector function. This protein might be an enzyme, toxin, ligand for a specific receptor, receptor, nucleic acid binding protein or antigen. The protein could also serve as a reporter to monitor induction by ionizing radiation. The gene coding for these proteins could be derived from eukaryotes or prokaryotes.

30

Other objects and advantages of the invention will become apparent upon reading the following detailed description and upon reference to the drawings in which:

35

FIG. 1. A schematic drawing of the basic genetic construct comprising a radiation sensitive promoter driving an effector gene.

5 FIG. 2. A schematic drawing of a more complex genetic construct than that shown in FIG. 1, comprising an "amplification system."

10 FIG. 3. A schematic drawing comprising the basic system of a retroviral mode of infection of a genetic construct into a cell.

15 FIG. 4. Effects of irradiation on TNF- α gene expression.

FIG. 5. Influence of TNF- α on radiation lethality of TNF- α -producing human sarcomas and TNF- α -nonproducing human tumor cells.

20 FIG. 6. Effects of ionizing radiation on c-jun RNA levels in human HL-60 cells.

25 FIG. 7. Effects of ionizing radiation on c-jun RNA levels in U-937 cells and in human AG-1522 diploid fibroblasts.

FIG. 8. Effects of ionizing radiation on rates of c-jun gene transcription.

30 FIG. 9. Effects of cycloheximide on c-jun mRNA levels in ionizing radiation-treated HL-60 cells.

35 FIG. 10. Effects of ionizing radiation on C-fos and jun-B mRNA levels in HL-60 cells.

FIG. 11. Effects of dose rate on the induction of c-jun expression by ionizing radiation.

While the invention is susceptible to various
5 modifications and alternative forms, a specific
embodiment thereof has been shown by way of example in
the drawings and will herein be described in detail. It
should be understood, however, that it is not intended to
limit the invention to the particular forms disclosed,
10 but on the contrary, the intention is to cover all
modifications, equivalents, and alternatives falling
within the spirit and scope of the invention as defined
by the appended claims.

15 This invention relates to methods and compositions
of controlling expression of a gene by exposure of a
construct, including the gene, to ionizing radiation.
The genes to be controlled are preferably incorporated
within a genetic construct which includes a region which
20 is sensitive to ionizing radiation. A schematic diagram
of such a construct is shown in FIG. 1 wherein an
enhancer-promoter region 10 of a radiation response gene,
e.g., c-jun, drives 16 the expression of a structural
gene, e.g., a reporter-effector gene such as TNF 14. The
25 product of the structural gene expression is then capable
of acting on a cell which has incorporated it, to produce
a desired effect on the cell.

A more complex genetic construct is shown
30 schematically in FIG. 2. In FIG. 2A, a region 20
comprising an enhancer-promoter of a radiation responsive
gene, is coupled to, and drives 28 the expression of, a
DNA binding domain 26, e.g., of a LAC repressor gene, and
a gene 24 producing a transcription factor, e.g., from
35 VP16. The chimeric protein resulting from the expression
of that fusion gene, 40, 42 is capable of binding to a

-15-

DNA sequence 30 illustrated in FIG. 2B. Binding of this sequence by the transcription factor 40, 42 activates 38 a structural gene 36, e.g., a reporter-effector gene such as TNF. A "minimal promoter" 32 containing CCAAT and the
5 TATA boxes, e.g., from the *c-fos* oncogene, is placed between the binding sequence 30 and the genes 36 to be expressed. The gene product 34 is capable of acting on a cell which has incorporated the genetic constructs, to produce a desired effect.

10

An example showing details of the multiple gene form of genetic construct is shown in FIG. 2. This figure is predicated on strong induction of the *c-jun* gene in various different cell types by ionizing radiation at a
15 transcriptional level. A large piece of 5' genomic sequence from the *jun* gene is ligated to an appropriate reporter such as β -galactosidase. Such a construct is then transfected into a recipient cell and checked for radiation responsiveness. Various truncations of this
20 initial large 5' piece may be used.

Methods of incorporating constructs into recipient cells comprise electroporation, lipofection, and viral infection. This latter method comprises a SIN (self-inactivating virus) with two LTR's 50, 56. Nestled
25 between the LTR's is a genetic construct comprising a radiation sensitive element 52 and a structural gene region 54. A U3 enhancer deletion is shown at 58.

30 Examples of elements used for the constructs follow.

Radiation Regulates TNF- α Expression

Combinations of tumor necrosis factor α (TNF- α), a
35 polypeptide mediator of the cellular immune response with pleiotropic activity, and radiation produce synergistic

effects and are useful for clinical cancer therapy. TNF- α acts directly on vascular endothelium to increase the adhesion of leukocytes during the inflammatory process (Bevelacqua, et al., 1989). This *in vivo* response to
5 TNF- α was suggested to be responsible for hemorrhagic necrosis and regression of transplantable mouse and human tumors (Carswell, 1975). TNF- α also has a direct effect on human cancer cell lines *in vitro*, resulting in cell death and growth inhibition (Sugarman, et al., 1985; Old,
10 1985). The cytotoxic effect of TNF- α correlates with free-radical formation, DNA fragmentation, and microtubule destruction (Matthews, et al., 1988; Rubin, et al., 1988; Scanlon, et al., 1989; Yamauchi, et al., 1989; Matthews, et al., 1987; Neale, et al., 1988). Cell
15 lines that are resistant to oxidative damage by TNF- α also have elevated free-radical buffering capacity (Zimmerman, et al., 1989; Wong, et al., 1988).

TNF- α causes hydroxyl radical production in cells
20 sensitive to killing by TNF- α (Matthews, et al., 1987). Cell lines sensitive to the oxidative damage produced by TNF- α have diminished radical-buffering capacity after TNF- α is added (Yamauchi, et al., 1989). Lower levels of hydroxyl radicals have been measured in cells resistant
25 to TNF- α cytotoxicity when compared with cells sensitive to TNF- α killing (Matthews, et al., 1987).

Tumor necrosis factor α is increased after treatment with x-rays in certain human sarcoma cells. The increase
30 in TNF- α mRNA is accompanied by the increased production of TNF- α protein.

The induction of a cytotoxic protein by exposure of cells containing the TNF gene to x-rays was suspected
35 when medium decanted from irradiated cultures of some human sarcoma cell lines was found to be cytotoxic to

-17-

those cells as well as to other tumor cell lines. The level of TNF- α in the irradiated tumor cultures was elevated over that of nonirradiated cells when analyzed by the ELISA technique (Saribon, et al., 1988).

- 5 Subsequent investigations showed that elevated TNF- α protein after irradiation potentiates x-ray killing of cells by an unusual previously undescribed mechanism (see Example 1).

- 10 FIG. 4 illustrates the effects of irradiation on TNF- α gene expression. RNA from untreated cells (control) and irradiated cells was size-fractionated and hybridized to 32 P-labeled TNF- α cDNA (STSAR-13) and PE4
15 plasmid containing TNF- α cDNA (STSAR-48). Autoradiograms showed increased expression of TNF- α mRNA 3 hr after irradiation in cell line STSAR-13 and at 6 hr in cell
20 line STSAR-48. 7S RNA was hybridized to show the pattern for equally loaded lanes. The conclusion from these results is that there is increased TNF- α gene expression after radiation.

- The next question was what the effects of TNF- α and radiation would be on cell killing. FIG. 5 exhibits the influence of TNF- α on radiation lethality of TNF- α -
25 producing human sarcomas and TNF- α -nonproducing human tumor cells. The solid lines indicate the effects of radiation alone, and the dashed lines indicate the effects of both TNF- α and irradiation. Representative survival data for cell line STSAR-33 are shown in the
30 graph to the left, A. The lower dashed line represents survival of cells with TNF- α at 1000 units/ml, corrected for a plating efficiency (PE) of 30%. The survival of human epithelial tumor cells (SQ-20B) irradiated with TNF- α (10 units/ml and 1000 units/ml) is shown in the
35 middle graph, B. Survival data for SQ-20B show an additive effect of TNF- α (1000 units/ml). Survivals with

-18-

TNF- α are corrected for 85% killing with TNF- α alone. Radiation survival data for HNSCC-68 is shown in the graph to the right, C. A nonlethal dose of TNF- α (10 units/ml) was added 24 hr before irradiation.

5

As can be seen from these results and from information discussed in EXAMPLE 1, the tumor necrosis factor α is increased after treatment with x-rays. Both mRNA and TNF- α proteins were increased.

10

Although DNA-damaging agents other than ionizing radiation have been observed to induce expression of variety of prokaryotic and mammalian genes, the TNF- α gene is the first mammalian gene found to have increased expression after exposure to ionizing radiation. This gene is not categorized as a DNA repair gene.

15

To determine the mechanisms responsible for regulation of c-jun gene expression by ionizing radiation, run-on transcriptional assays were performed in isolated nuclei. The action gene was constitutively transcribed in untreated HL-60 cells as a positive control (FIG. 8).

20

Negative control was provided by the β -globin gene transcript. As shown in FIG. 8, a low level of c-jun transcription was detectable in HL-60 untreated by radiation. Dramatic increased transcription (7.2 fold) occurred after exposure to ionizing radiation. The conclusion from this study was that ionizing radiation induced c-jun expression, at least in part by a transcriptional mechanism.

25

30

FIG. 9 illustrates the effects of cycloheximide on c-jun mRNA levels in ionizing radiation treated HL-60 cells. The columns headed XRT shows expression of mRNA

35

after 20 Gy radiation exposure of the cells. In the columns CHX, cycloheximide has been added. The additive effects of CHX and CHX/XRT are a 3.6 fold increased expression compared to XRT alone.

5

Effects of cycloheximide on c-jun mRNA levels in ionizing radiation-treated HL-60 cells. HL-60 cells were treated with 20 Gy of ionizing radiation (XRT) and/or 5 μ g of cycloheximide (CHX) per ml. Total cellular RNA (20 μ g per lane) was isolated after 1, 3 and 6 h and analyzed by hybridization to the 32 P-labeled c-jun or actin probe.

FIG. 10. Effects of ionizing radiation on C-fos and jun-B mRNA levels in HL-60 cells. (A) HL-60 cells were treated with varying doses of ionizing radiation (XRT) or 32 nM 12-O-tetradecanoylphorbol 13-acetate (TPA; positive control) for 3 h. Total cellular RNA (20 μ g) was hybridized to the 32 P-labeled c-fos probe. (B) HL-60 cells were treated with 20 Gy of ionizing radiation. Total cellular RNA (20 μ g per lane) was isolated at the indicated times and analyzed by hybridization to the 32 P-labeled jun-B probe.

FIG. 11. Effects of dose rate on the induction of c-jun expression by ionizing radiation. HL-60 cells were treated with 10 or 20 Gy of ionizing radiation at the indicated dose rates. After 3 h, total cellular RNA (20 μ g) was isolated and hybridized to the 32 P-labelled c-jun probe.

30

Targeting Tissues for Incorporation of a Genetic Construct Responsive to Ionizing Radiation

Depending on the application in question, the recipient cells are targeted in various ways. In an exemplary embodiment, LAK cells which tend to home in on

the tumor site in question with some degree of preference though as is well known, they will also distribute themselves in the body in other locations, may be used to target tumors. Indeed, one of the most important advantages of the radiation inducible system is that only those LAK cells, which are in the radiation field will be activated and will have their exogenously introduced lymphokine genes activated. Thus, for the case of LAK cells, there is no particular need for any further targeting. In other applications, the appropriate cells in question have had appropriate genes from monoclonal antibodies introduced in them or appropriate antibodies expressed on their cell surface by other means such as by cell fusion. These monoclonal antibodies, for example, are targeted towards specific cells in the body and thus allow the recipient cells to home in on that particular region so that then radiation could be used for the activation of the appropriate toxins within them. This enables local delivery of the "drug," wherein the "drug" is defined as the expression product of the genes within the radiation responsive genetic construct. Illustrative embodiments of types of radiation inducible constructs and their applications are presented in Table 1 and

EXAMPLE 4.

**TABLE 1: ILLUSTRATIVE EMBODIMENTS OF TYPES OF
RADIATION INDUCIBLE GENETIC CONSTRUCTS AND THEIR USES**

	Action of Expression Products of Genes in the Construct	Examples of Structural Genes Used in the Construct	Applications to Diseases, Conditions and Tissues
5	Kill tumor cells	Toxins TNF Growth Factors (IL-1-6 PDGF, FGF)	Solid and Hematologic Malignancies
10	Protect normal tissues from radiation and other cytotoxins during cancer therapy	Lymphokines GCSF, CMCSF Erythropoietin	Solid and Hematologic Malignancies, Aplastic Anemic
	Inhibit Metastasis	NM23	Cancer Metastasis
15	Tumor Suppressor Gene Products	Rb p53	Prevention of Malignancy Following Standard Radiotherapy and Chemotherapy
	Radiosensitization Chemosensitization (enhance routine treatment effects)	TNF	Solid and Hematologic Malignancies
20	Correct Defects in Clotting Factors	Factor 8	Clotting Disorders
	Introduce Anticlotting Factors	Streptokinase Urokinase	Myocardial Infarction, CNS Thrombosis, Pheripheral Thrombosis
25	Correct Defects Characterizing Hemoglobinopathy	Normal Hemoglobin	Sickle Cell Anemia
30	Correct Deficiencels Leading to Neurodegenerative Disease	Nerve Growth Factor	Alzheimer's Disease
35	Provide Treatment Component for Diabetes	Insulin	Diabetes

	Action of Expression Products of Genes in the Construct	Examples of Structural Genes Used in the Construct	Applications to Diseases, Conditions and Tissues
5	Disease of DNA Repair Abnormalities	ERCC-1, XRCC-1	Ataxia Telangiectasia Xeroderma Pigmentosum

10

EXAMPLESEXAMPLE 1

15 **Increased Tumor Necrosis Factor α mRNA
After Cellular Exposure to Ionizing Radiation**

A. Protein Products

To investigate TNF- α protein production after x-irradiation, the levels of TNF- α in the medium of human tumor cell lines and fibroblasts were quantified by the ELISA technique (Saribon, et al., 1988) before and after exposure to 500-cGy x-rays (Table 1). Five of 13 human bone and soft tissue sarcoma cell lines (STSAR-5, -13, -33, -43, and -48) released TNF- α into the medium after irradiation, whereas TNF- α levels were not elevated in supernatant from normal human fibroblast cell lines (GM-1522 and NHF-235) and four human epithelial tumor cell lines (HN-SCC-68, SCC-61, SCC-25, and SQ-20B) after exposure to radiation. The assay accurately measures TNF- α levels between 0.1 and 2.0 units per ml (2.3×10^5 units/mg) (Saribon, et al., 1988). Tumor cell line STSAR-13 produced undetectable amounts of TNF- α before x-irradiation and 0.35 units/ml after x-ray exposure. Cell lines STSAR-5 and -33 responded to x-irradiation with increases in TNF- α concentrations of >5- to 10-fold; however quantities above 2 units/ml exceeded the range of the assay (Saribon, et al., 1988). Cell lines STSAR-43 and -48 demonstrated increases in TNF- α of 1.5- to 3-fold (Table 1). TNF- α protein in the medium was first

-23-

elevated at 20 hr after x-ray treatment, reached maximal levels at 3 days, and remained elevated beyond 5 days. Furthermore, supernatant from irradiated, but not control STSAR-33, was cytotoxic to TNF- α -sensitive cell line SQ-20B.

TABLE 2: PRODUCTION OF TNF-A IN HUMAN SARCOMA CELL LINES

Cell Line	Origin	TNF- α level, units/ml,	
		Control	X-ray
STSAR-5	MFH	0.4	>2.0
STSAR-13	Liposarcoma	0.0	0.34
STSAR-33	Ewing sarcoma	0.17	>2.0
STSAR-43	Osteosarcoma	0.41	1.3
STSAR-48	Neurofibrosarcoma	0.28	0.43

TNF- α levels were measured in medium from confluent cell cultures (control) and in irradiated confluent cells (x-ray). TNF- α levels increased as measured by the ELISA technique. MFH, malignant fibrous histiocyte.

B. RNA Analysis.

Increased levels of TNF- α mRNA were detected in the TNF- α -producing sarcoma cell lines after irradiation relative to unirradiated controls (FIG. 4). For example, TNF- α transcripts were present in unirradiated STSAR-13 and -48 cell lines. TNF- α mRNA levels in cell line STSAR-13 increased by >2.5-fold as measured by densitometry 3 hr after exposure to 500 cGy and then declined to baseline levels by 6 hr (FIG. 4). These transcripts increased at 6 hr after irradiation in cell line STSAR-48, thus indicating some heterogeneity between cell lines in terms of the kinetics of TNF- α gene expression (FIG. 4). In contrast, irradiation had no detectable effect on 7S RNA levels (FIG. 4) or expression of the polymerase β gene.

C. Interaction Between TNF- α and X-Irradiation.

To investigate the influence of TNF- α on radiation-induced cytotoxicity in TNF- α -producing cell lines, recombinant human TNF- α was added to cultures before irradiation (FIG. 5). Recombinant human TNF- α (1000 units/ml) (2.3×10^6 units/mg) was cytotoxic to four of five TNF- α -producing sarcomas (STSAR-5, -13, -33, and -43). The plating efficiency (PE) was reduced by 60-90% at 1000 units/ml in these lines. Radiation-survival analysis of cell line STSAR-33 was performed with TNF- α (10 units/ml). The radiosensitivity (D_0), defined as the reciprocal of the terminal slope of the survival curves was 80.4 cGy for cell line STSAR-33. When TNF- α was added 20 hr before irradiation, the D_0 was 60.4 cGy. Surviving fractions were corrected for the reduced PE with TNF- α . Thus, the interaction between TNF- α and radiation in STSAR-33 cells was synergistic (Dewey, 1989). Sublethal concentrations of TNF- α (10 units/ml) enhanced killing by radiation in cell line STSAR-33, suggesting a radiosensitizing effect of TNF- α . The surviving fraction of cell line STSAR-5 at 100-700 cGy was lower than expected by the independent killing of TNF- α and x-rays, although the D_0 values were similar. Thus, the interaction between TNF- α and radiation is additive (Dewey, 1979) in STSAR-5 cells. Cell lines STSAR-13 and STSAR-43 were independently killed with x-rays and TNF- α , and no interaction was observed.

To determine the possible interactions between TNF- α and x-rays in non-TNF- α producing cells, human epithelial tumor cells (SQ-20B and HNSCC-68) were irradiated 20 hr after TNF- α was added. These cell lines do not product TNF- α in response to ionizing radiation. TNF- α (1000 units/ml) was cytotoxic to SQ-20B and SCC-61 cells, reducing the PE by 60-80%. The radiation survival of SQ-20B cells with and without TNF- α is shown in FIG. 5. The

D_0 for cell line SQ-20B is 239 cGy. With TNF- α (1000 units/ml) added 24 hr before x-rays, the D_0 was 130.4 cGy. Therefore, a synergistic interaction (Dewey, 1979) between TNF- α and x-rays was demonstrated in this cell line. TNF- α added after irradiation did not enhance cell killing by radiation in cell lines SQ-20B. Nonlethal concentrations of TNF- α (10 units/ml) resulted in enhanced radiation killing in cell line HNSCC-68 (FIG. 5), providing evidence that TNF- α may sensitize some epithelial as well as mesenchymal tumor cell lines to radiation.

The following specific methods were used in Example 1.

Cell Lines. Methods of establishment of human sarcoma and epithelial cell lines have been described (Weichselbaum, et al., 1986; 1988). Culture medium for epithelial tumor cells was 72.5% Dulbecco's modified Eagle's medium/22.5% Ham's nutrient mixture F-12 [DMEM/F-12 (3:1)] 5% fetal bovine serum (FBS), transferrin at 5 μ g/ml/ 10^{-10} M cholera toxin/ 1.8×10^{-4} M adenine, hydrocortisone at 0.4 μ g/ml/ 2×10^{-11} M triodo-L-thyronine/penicillin at 100 units/ml/streptomycin at 100 μ g/ml. Culture medium for sarcoma cells was DMEM/F-12 (3:1)/20% FBS, penicillin at 100 units/ml/streptomycin at 100 μ g/ml.

TNF- α Protein Assay. Human sarcoma cells were cultured as described above and grown to confluence. The medium was analyzed for TNF- α 3 days after feeding and again 1-3 days after irradiation. Thirteen established human sarcoma cell lines were irradiated with 500-centigray (cGy) x-rays with a 250-kV Maxitron generator (Weichselbaum, et al., 1988). TNF- α was measured by ELISA with two monoclonal antibodies that had distinct

epitopes for TNF- α protein (Saribon, et al., 1988); the assay detects TNF- α from 0.1 to 2.0 units/ml.

RNA Isolation and Northern (RNA) Blot Analysis.

5 Total cellular RNA was isolated from cells by using the guanidine thiocyanate-lithium chloride method (Cathala, et al., 1983). RNA was size-fractionated by formaldehyde-1% agarose gel electrophoresis, transferred to nylon membranes (GeneScreenPlus, New England Nuclear),
10 hybridized as previously described to the 1.7-kilobase (kb) BamHI fragment of the PE4 plasmid containing TNF- α cDNA (19, 23), and autoradiographed for 16 days at -85°C with intensifying screens. Northern blots were also hybridized to 7S rRNA and β -polymerase plasmids as
15 described (Fornace, et al., 1989). Ethidium bromide staining revealed equal amounts of RNA applied to each lane. RNA blot hybridization of TNF- α was analyzed after cellular irradiation with 500 cGy. Cells were washed with cold phosphate-buffered saline and placed in ice at
20 each time interval. RNA was isolated at 3, 6, and 12 hr after irradiation.

Treatment of Cells with X-Irradiation and TNF- α .

Exponentially growing cells were irradiated by using a
25 250-kV x-ray generator. The colony-forming assay was used to determine cell survival (Weichselbaum, et al., 1988). The multitarget model survival curves were fit to a single-hit multitarget model [$S = 1 - (-e^{-D/D_0})^n$]. Concentrations of recombinant human TNF- α (10 units/ml)
30 (2:3 x 10⁶ units/mg) and (1000 units/ml) (Asahi Chemical, New York) were added 24 hr before irradiation.

EXAMPLE 2

35 **Increased c-jun Expression After Exposure to Ionizing Radiation**

The following methods were used in this example.

Radiation Regulates c-jun Expression

Another embodiment of a genetic construct derives
5 from the c-jun protooncogene and related genes. Ionizing
radiation regulates expression of the c-jun
protooncogene, and also of related genes c-fos and jun- β .
The protein product of c-jun contains a DNA binding
region that is shared by members of a family of
10 transcription factors. Expression level after radiation
is dose dependent. The c-jun gene encodes a component of
the AP-1 protein complex and is important in early
signaling events involved in various cellular functions.
AP-1, the product of the protooncogene c-jun recognizes
15 and binds to specific DNA sequences and stimulates
transcription of genes responsive to certain growth
factors and phorbol esters (Bohmann, et al., 1987; Angel,
et al., 1988). The product of the c-jun protooncogene
contains a highly conserved DNA binding domain shared by
20 a family of mammalian transcription factors including
jun- β , jun-D, c-fos, fos- β , fra-1 and the yeast GCN4
protein.

In addition to regulating expression of the c-jun
25 gene, c-jun transcripts are degraded
posttranscriptionally by a labile protein in irradiated
cells. Posttranscriptional regulation of the gene's
expression is described in Sherman, et al., 1990.

30 Contrary to what would be expected based on previous
DNA damage and killing rates for other agents, decreasing
the dose rate, for example, from 14.3 Gy/min to 0.67
Gy/min. was associated with increased induction of c-jun
transcripts.

FIG. 6. Effects of ionizing radiation on *c-jun* RNA levels in human HL-60 cells. (A) Northern blot analysis of total cellular RNA levels was performed in HL-60 cells after treatment with 20 Gy of ionizing radiation (XRT).
5 Hybridization was performed using a ³²P-labeled *c-jun* or actin DNA probe. (B) HL-60 cells were treated with the indicated doses of ionizing radiation. RNA was isolated after 3 hours and hybridizations were performed using ³²P-labeled *c-jun* or β -actin DNA probes. The column labelled
10 HL-60 represents RNA from untreated cells.

Maximum *c-jun* mRNA levels were detectable after 50 Gy of ionizing radiation (FIG. 6B).

15 Similar kinetics of *c-jun* induction were observed in irradiated human U-937 monocytic leukemia cells (FIG. 7A) and in normal human AG-1522 diploid fibroblasts (FIG. 7B). Treatment of AG-1522 cells with ionizing radiation was also associated with the appearance of a minor 3.2-kb
20 *c-jun* transcript.

Cell Culture. Human HL-60 promyclocytic leukemia cells, U-937 monocytic leukemia cells (both from American Type Culture Collection), and AG-1522 diploid foreskin
25 fibroblasts (National Institute of Aging Cell Repository, Camden, NJ) were grown in standard fashion. Cells were irradiated using either Philips RT 250 accelerator at 250 kV, 14 mA equipped with a 0.35-mm Cu filter or a Gammacell 1000 (Atomic Energy of Canada, Ottawa) with a
30 ¹³⁷Cs source emitting at a fixed dose rate of 14.3 Gy/min as determined by dosimetry. Control cells were exposed to the same conditions but not irradiated.

Northern Blot Analysis. Total cellular RNA was
35 isolated as described (29). RNA (20 μ g per lane) was separated in an agarose/formaldehyde gel, transferred to

-29-

a nitrocellulose filter, and hybridized to the following ³²P-labeled DNA probes: (i) the 1.8-kilobase (kb) *Bam*HI/*Eco*RI *c-jun* cDNA (30); (ii) the 0.91-kb *Sca* I/*Nco* I *c-fos* DNA consisting of exons 3 and 4 (31); (iii) the
5 1.8-kb *Eco*RI *jun-B* cDNA isolated from the p465.20 plasmid (32); and (iv) the 2.0-kb *Pst*I β -actin cDNA purified from pA1 (33). The autoradiograms were scanned using an LKB UltroScan XL laser densitometer and analyzed using the LKB GelScan XL software package. The intensity of *c-jun*
10 hybridization was normalized against β -actin expression.

Run-On Transcriptional Analysis. HL-60 cells were treated with ionizing radiation and nuclei were isolated after 3 hours. Newly elongated ³²P-labeled RNA
15 transcripts were hybridized to plasmid DNAs containing various cloned inserts after digestion with restriction endonucleases as follows: (i) the 2.0-kb *Pst* I fragment of the chicken β -actin pA1 plasmid (positive control); (ii) the 1.1-kb *Bam*HI insert of the human β -globin gene
20 (negative control, ref.34); and (iii) the 1.8-kb *Bam*HI/*Eco*RI fragment of the human *c-jun* cDNA from the pBluescript SK(+) plasmid. The digested DNA was run in a 1% agarose gel and transferred to nitrocellulose filters by the method of Southern. Hybridization was performed
25 with 10⁷ cpm of ³²P-labeled RNA per ml of hybridization buffer for 72 h at 42°C. Autoradiography was performed for 3 days and the autoradiograms were scanned as already described.

30

EXAMPLE 3

Radiation Induced Transcription of *JUN* and *EGR1*

There was increased mRNA expression for different classes of immediate early response to radiation genes
35 (*JUN*, *EGR1*) within 0.5 to 3 hours following cellular x-irradiation. Preincubation with cycloheximide was

-30-

associated with superinduction of *JUN* and *EGR1* in x-irradiated cells. Inhibition of protein kinase C (PKC) activity by prolonged stimulation with TPA or the protein kinase inhibitor H7 prior to irradiation attenuated the increase in *EGR1* and *JUN* transcripts. These data implicated *EGR1* and *JUN* as signal transducers during the cellular response to radiation injury and suggested that this effect is mediated in part by a protein kinase C (PKC) dependent pathway.

10

JUN homodimers and *JUN/FOS* heterodimers regulate transcription by binding to AP1 sites in certain promoter regions (Curran and Franza, 1988). The *JUN* and *FOS* genes are induced following x-ray exposure in human myeloid leukemia cells suggests that nuclear signal transducers participate in the cellular response to ionizing radiation.

EGR1 (also known as zif/268, NGFI-1, Krox-24, TIS-8) (Christy, et al., 1988; Milbrant, 1987; Lemaire, et al., 1988; Lim, et al., 1987) encodes a nuclear phosphoprotein with a Cys₂-His₂ zinc-finger motif which is partially homologous to the corresponding domain in the Wilms' tumor susceptibility gene (Gessler, 1990). The *EGR1* protein binds with high affinity to the DNA sequence CGCCCCGC in a zinc-dependent manner (Christy and Nathans, 1989; Cao, 1990). *EGR1* represents an immediate early gene which is induced during tissue injury and participates in signal transduction during cellular proliferation and differentiation.

The *EGR1* and *JUN* genes are rapidly and transiently expressed in the absence of de novo protein synthesis after ionizing radiation exposure. *EGR1* and *JUN* are most likely involved in signal transduction following x-irradiation. Down regulation of PKC by TPA and H7 is

35

-31-

associated with attenuation of *EGR1* and *JUN* gene induction by ionizing radiation, implicating activation of PKC and subsequent induction of the *EGR1* and *JUN* genes as signaling events which initiate the mammalian cell phenotypic response to ionizing radiation injury.

Control RNA from unirradiated cells demonstrated low but detectable levels of *EGR1* and *JUN* transcripts. In contrast, *EGR1* expression increased in a dose dependent manner in irradiated cells. Levels were low but detectable after 3 Gy and increased in a dose dependent manner following 10 and 20 Gy. Twenty Gy was used in experiments examining the time course of gene expression so that transcripts were easily detectable. Cells remained viable as determined by trypan dye exclusion during this time course. A time dependent increase in *EGR1* and *JUN* mRNA levels was observed. SQ-20B cells demonstrated coordinate increases in *EGR1* and *JUN* expression by 30 minutes after irradiation that declined to baseline within 3 hours. In contrast, *EGR1* transcript levels were increased over basal at 3 hours while *JUN* was increased at one hour and returned to basal at 3 hours in AG1522. *JUN* levels were increased at 6 hours in 293 cells while *EGR1* was increased at 3 hours and returned to basal levels by 6 hours.

To determine whether *EGR1* and *JUN* participated as immediate early genes after x-irradiation, the effects of protein synthesis inhibition by CHI were studied in cell lines 293 and SQ-20B after x-ray exposure. CHI treatment alone resulted in a low but detectable increase in *EGR1* and *JUN* transcripts normalized to 7S. In the absence of CHI, the level of *EGR1* and *JUN* expression returned to baseline. In contrast, SQ-20B cells pretreated with CHI demonstrated persistent elevation of *EGR1* at 3 hours and 293 cells demonstrated persistent elevation of *JUN* mRNA

at 6 hours after irradiation thus indicating superinduction of these transcripts.

mRNA levels of transcription factors *EGR1* and *JUN* increased following ionizing radiation exposure in a time and dose dependent manner. The potential importance of the induction of *EGR1* and *JUN* by ionizing radiation is illustrated by the recent finding that x-ray induction of the PDGF alpha chain stimulates proliferation of vascular endothelial cells (Witte, et al., 1989). PDGF has AP-1 and *EGR1* binding domains while TNF has elements similar to AP-1 and *EGR1* target sequences (Rorsman, et al., 1989; Economou, et al., 1989). X-ray induction of PDGF and TNF appears to be regulated by *EGR1* and *JUN*.

The following is a method used in EXAMPLE 3:

Kinase Inhibitors

Cell line SQ-20B was pretreated with 1 μ M TPA for 40 hours to down regulate PKC and then stimulated with TPA, serum, or x-ray (20 Gy). Controls included x-ray without TPA pretreatment, TPA (50 nM) without TPA pretreatment and untreated cells. RNA was isolated after one hour and hybridized to *EGR1*. SQ-20B cells were preincubated with 100 μ M H7 (1-(5-isoquinoliny)sulfonyl)-2-methyl piperazine) or 100 μ M HA1004 (N-[2-methyl-amino] ethyl)-5-isoquino-linesulfonamide) Seikagaku America, Inc., St. Petersburg, FL) for 30 minutes or TPA pretreatment (1 μ M) for 40 hours and followed by exposure to 20 Gy x-irradiation. RNA was extracted one hour after irradiation. Positive control cells treated under the same conditions but in the absence of inhibitor also received 20 Gy, while negative control cells received neither H7 nor X-ray. RNA was extracted at one hour

-33-

after 20 Gy without inhibitor. Northern blots were hybridized to *EGR1* or 7S. 293 cells pretreated with the above inhibitors were irradiated, RNA was extracted after 3 hours and the Northern blot was hybridized to *JUN* and 7S probes.

EXAMPLE 4

Protocol for Treatment of Head and Neck Cancer with X-ray Induced TNF and Therapeutic X-rays

For treatment of patients with head and neck cancer, the following steps are followed:

1. Prepare a genetic construct according to the general scheme illustrated in FIGS. 1 or 2.

This construct comprises AP-1 as the element which is responsive to x-rays, coupled to a sequence of DNA to which the lac repressor binds, and to the gene for the tumor necrosis factor. This construct is designated "construct A" for purposes of this example.

2. "Construct A" is put into a retrovirus that is self-inactivating (see FIG. 3).

3. Lymphokine activated killer (LAK) cells are infected with the retrovirus bearing "construct A." The cells are to be directed against the malignant cells in the head and neck.

4. The lymphocytes are infused into the patient to be treated.

5. The head and neck region is irradiated.

REFERENCES

The references listed below are incorporated herein
by reference to the extent that they supplement, explain,
5 provide a background for, or teach methodology,
techniques, and/or compositions employed herein.

- 10 **Reference 1.** Andrews, G.K., Harding, M.A., Calvert,
 J.P. and Adamson, E.D. (1987) *Mol. Cell.*
 Biol. 7:3452-3458.
- Reference 2.** Angel, P., Potting, A., Mallick, U.,
 Rahmsdorf, H.J., Schorpp, M., and
 Herrlich, P. (1986) *Mol. Cell. Biol.*
 6:1760-1766.
- 15 **Reference 3.** Angel, P., Baumann, I., Stein, B., Dallus,
 H., Rahmsdorf, H.J., and Herrlich, P.
 (1987) *Mol. Cell. Biol.* 7:2256-2266.
- Reference 4.** Angel, P. Allegretto, E.A., Okino, S.,
 Hattori, K., Boyle, W.J., Hunter, T. and
20 Karin, M. (1988) *Nature (London)* 332:166-
 171.
- Reference 5.** Bevelacqua, M.P., Stengelin, S., Gimbrone,
 M.A., and Seed, B. (1989) *Science*
 243:1160-1165.
- 25 **Reference 6.** Bohmann, D., Bos, T.J., Admon, A.,
 Nishimura, T., Vogt, P.K, and Tjian, R.
 (1987) *Science* 238:1386-1392.
- Reference 7.** Bonura, T. and Smith, K.C. (1976) *Int. J.*
 Radiat. Biol 29:293-296.
- 30 **Reference 8.** Boothman, D.A., Bouvard, I and Hughes,
 E.N. (1989) *Cancer Res.* 49:2871-2878.
- Reference 9.** Borek, C. (1985) *Pharmacol. Ther.* 27:99-
 142.
- Reference 10.** Cao, X. (1990) *Mol. Cell. Biol.* 10:1931-
35 1939.

- Reference 11. Carswell, E.A. (1975) *Proc. Natl. Acad. Sci. USA* 72:3666-3670.
- Reference 12. Cathala, G., Savouret, J.F., Mendez, B., West, B.L., Karin, M., Martial, J.A. and Baxter, J.D. (1983) *DNA* 2:329-335.
- 5 Reference 13. Christy, B.A., Lau, L.F., Nathans, D. (1988) *Proc. Natl. Acad. Sci. USA* 85:7857-7861.
- Reference 14. Christy, B.A. and Nathans, D. (1989) *Proc. Natl. Acad. Sci.* 86:8737-8741.
- 10 Reference 15. Cleveland, D.W., Lopata, M.A., MacDonald, R.J., Cowan, N.J., Rutter, W.J. and Kirschner, M.W. (1980) *Cell* 20:95-105.
- Reference 16. Curran, T., Franza, B.R. (1988) *Cell* 55:395-397.
- 15 Reference 17. Dewey, W.C. (1979) *Int. J. Radiat. Oncol. Biol. Phys.* 5:1165-1174.
- Reference 18. Economou, J.S., Rhoades, K., Essner, R., McBride, W.H., Gasson, J.C. and Morton, D.L. (1989) *J. Exp. Med.* 170:321-326.
- 20 Reference 19. Fornace, A.J., Alamo, I., and Hollander, M.C. (1988) *Proc. Natl. Acad. Sci. USA* 85:8800-8804.
- Reference 20. Fornace, A.J., Jr., Schalch, H. and Alamo, I., Jr. (1988) *Mol. Cell. Biol.* 8:4716-4720.
- 25 Reference 21. Fornace, A.J., Zmudzka, B., Hollander, M.C. and Wilson, S.H. (1989) *Mol. Cell. Biol.* 9:851-853.
- Reference 22. Gessler, M. (1990) *Nature* 343:774-778.
- 30 Reference 23. Hall, E.J. (1988) in *Radiobiology for the Radiologist*, ed. Hall, E.J. (Lippincott, Philadelphia), pp. 17-38.
- Reference 24. Hallahan, D.E., Spriggs, D.R., Beckett, M.A., Kufe, D.W., and Weichselbaum, R.R.
- 35

- (1989) *Proc. Natl. Acad. Sci. USA* 86:10104-10107.
- 5 **Reference 25.** Hattori, K., Angle, P., LeBeau, M.M., and Karin, M. (1988) *Proc. Natl. Acad. Sci. USA* 85:9148-9152.
- Reference 26.** Herrlich, P. (1987) *Accomplishments in Cancer Research* (Lippincott, Philadelphia), pp. 213-228.
- 10 **Reference 27.** Hollander, C.M. and Fornace, A.J., Jr. (1989) *Cancer Res.* 49:1687-1693.
- Reference 28.** Lambert, M. and Borek, C. (1988) *J. Natl. Cancer Inst.* 80:1492-1497.
- Reference 29.** Lemaire, P., Relevant, O., Bravo, R., Charnay, P. (1988) *Proc. Natl. Acad. Sci. USA* 85:4691-4695.
- 15 **Reference 30.** Little, J.W. and Mount, D.W. (1982) *Cell* 29:11-22.
- Reference 31.** Lim, R.W., Varnum, B.C., Herschman, H.R. (1987) *Oncogene* 1:263-270.
- 20 **Reference 32.** Matthews, N., Neale, M.L., Fiera, R.A., Jackson, S.K., and Stark, S.M. (1988) *Tumor Necrosis Factor/Cachectin and Related Cytokinesis*, eds. Bonavida, B., Gifford, G.E., Kirchner, H. & Old, L.J. (Karger, New York), pp. 20-25.
- 25 **Reference 33.** Matthews, N., Neale, M.L., Jackson, S.K. and Stark, J.M. (1987) *Immunology* 62:153-155.
- Reference 34.** Milbrandt, J., (1987) *Science* 238:797-799.
- 30 **Reference 35.** Miskin, R. and Ben-Ishai, R. (1981) *Proc. Natl. Acad. Sci. USA* 78:6236-6240.
- Reference 36.** Moulder, J.E. and Rockwell, S. (1984) *Int. J. Radiat. Oncol. Biol. Phys.* 10:695-712.
- 35 **Reference 37.** Neale, M.L., Fiera, R.A. and Matthews, N. (1988) *Immunology* 64:81-85.

- Reference 38. Old, L.J. (1985) *Science* 230:630-634.
- Reference 39. Papathanasiou, M., Barrett, S.F.,
Hollander, M.C., Alamo, J., Jr., Robbins,
J.H., Fornace, A.J., Jr. (1990) *Proc. Ann.*
5 *Meet. Am. Assoc. Cancer Res.* 31:A1802.
- Reference 40. Rorsman, F., Bywater, M., Knott, T.J.,
Scott, J. and Betsholtz, C. (1989) *Mol.*
Cell. Biol. 8:571-577.
- Reference 41. Rubin, B.Y., Smith, L.J., Hellerman, G.R.,
10 Lunn, R.M., Richardson, N.K, and Anderson,
S.L. (1988) *Cancer Res.* 48:6006-6010.
- Reference 42. Ryder, K., Lau, L.F., and Nathans, D.
(1988) *Proc. Natl. Acad. Sci. USA* 85:1487-
1491.
- 15 Reference 43. Sariban, E., Imamura, K., Luebbers, R. and
Kufe, D. (1988) *J. Clin. Invest.* 81:1506-
1510.
- Reference 44. Scanlon, M., Laster, S.M., Wood, J.G. &
Gooding, L.R. (1989) *Cell Biol.* 86:182-
20 186.
- Reference 45. Schorpp, M., Mallick, V., Rahmsdorf, H.J.
and Herrlich, P. (1984) *Cell* 37:861-868.
- Reference 46. Sersa, G., Willingham, V. and Milas, L.
(1988) *Int. J. Cancer* 42:129-134.
- 25 Reference 47. Sherman, M.L., Datta, R., Hallahan, D.E.,
Weichselbaum, R.R., Kufe, D.W. (1990)
Proc. Natl. Acad. Sci. USA 87:5663-5666.
- Reference 48. Sherman, M.L., Stone, R.M., Datta, R.,
Bernstein, S.H. and Kufe, D.W. (1990) *J.*
30 *Biol. Chem.* 265:3320-3323.
- Reference 49. Sugarman, B.J., Aggarwai, B.B., Huas,
P.E., Figari, I.S., Palladino, M.A., Jr.
and Shepard, H.M. (1985) *Science* 230:943-
945.

- Reference 50. van Straaten, F., Muller, R., Curran, T.,
van Beveren, C. and Verma, I.M. (1983)
Proc. Natl. Acad. Sci. USA 80:3183-3187.
- 5 Reference 51. Wang, A.M., Creasg, A.A., Lander, M.B.,
Lin, L.S., Strickler, J., Van Arsdell,
J.N., Yanamotot, R. and Mark, D.F. (1985)
Science 228:149-154.
- Reference 52. Weichselbaum, R.R., Nove, J. and Little,
J.B. (1980) *Cancer Res.* 40:920-925.
- 10 Reference 53. Weichselbaum, R.R., Dahlberg, W., Beckett,
M.A., Karrison, T., Miller, D., Clark, J.
and Ervin, T.J. (1986) *Proc. Natl. Acad.*
Sci. USA 83:2684:2688.
- Reference 54. Weichselbaum, R.R., Beckett, M.A., Simon,
15 M.A., McCowley, C., Haraf, D., Awan, A.,
Samuels, B., Nachman, J. and Drtischilo,
A. (1988) *Int. J. Rad. Oncol. Biol. Phys.*
15:937-942.
- Reference 55. Wilson, J.T., Wilson, L.B., deRiel, J.K.,
20 Villa-Komaroff, L., Efstratiadis, A.,
Forget, B.G. and Weissman, S.M. (1978)
Nucleic Acids Res. 5:563-580.
- Reference 56. Witte, L., Fuks, Z., Haimovitz-Friedman,
A., Vlodavsky, I., Goodman, D.S. and
25 Eldor, A. (1989) *Cancer Res.* 49:5066-5072.
- Reference 57. Woloschak, G.E., Chang-Liu, C.M., Jones,
P.S. and Jones, C.A. (1990) *Cancer Res.*
50:339-344.
- Reference 58. Wong, G.W.H. and Goeddel, D.V. (1988)
30 *Science* 242:941-943.
- Reference 59. Wong, G.H.W., Elwell, J.H., Oberly, L.H.,
Goeddel, D.V. (1989) *Cell* 58:923-931.

- 5 **Reference 60.** Yamuchi, N., Karizana, H., Watanabe, H.,
Neda, H., Maeda, M. and Nutsu, Y. (1989)
Cancer Res. 49:1671-1675.
- Reference 61.** Zimmerman, R.J., Chan, A. and Leadon, S.A.
(1989) *Cancer Res.* 49:1644-1648.

CLAIMS:

1. A method for destroying, altering, or inactivating cells within a tissue, said method comprising:

5

(a) preparing a genetic construct comprising a radiation responsive enhancer-promoter region and a region comprising at least one structural gene which is controlled by the enhancer-promoter;

10

(b) delivering the construct into cells in the tissue or into cells that migrate to the tissue; and

15

(c) exposing the tissue to ionizing radiation to induce the expression of the structural gene.

20 2. The method of claim 1 wherein the radiation responsive enhancer-promoter region is derived from at least one of the following genes: c-jun, AP-1 and tumor necrosis factor.

25

3. The method of claim 1 wherein the structural gene region comprises genes whose expression comprises at least one of the following: tumor necrosis factor, ricin, and streptokinase.

30

4. The method of claim 1 wherein the structural gene region comprises a DNA binding domain, a repressor gene, a binding region for the repressor and a structural gene.

35

--41--

5. The method of claim 1 wherein the ionizing radiation is delivered at a low dose.

5 6. The method of claim 5 wherein the dose is in the range of 150 to 300 rads.

7. The method of claim 6 wherein the dose is about 200
10 rads.

8. The method of claim 1 wherein the tissue is a disease-related tissue.
15

9. The method of claim 8 wherein the disease-related tissue comprises a tumor.

20 10. The method of claim 8 wherein the disease-related tissue comprises a blood clot.

25 11. The method of claim 1 wherein the tissue is characterized by a metabolic deficiency.

30 12. A method of treating a disease comprising:

(a) preparing a genetic construct comprising a promoter which is inducible by ionizing radiation, and at least one structural gene;

-42-

(b) delivering the genetic construct to the disease-related tissue so that the cells within the tissue incorporate the construct; and

5 (c) exposing the tissue to ionizing radiation to induce the expression of the gene.

10 13. The method of claim 12 wherein the genetic construct comprises the c-jun promoter and the structural gene for the tumor necrosis factor.

15 14. The method of claim 12 wherein the structural gene which is capable of being activated by a transcription factor, the expression of which is under the control of the radiation inducible promoter.

20 15. The method of claim 14 wherein the structural gene comprises a fusion gene comprising a lac repressor, a DNA binding domain of a LAC repressor, a VP16 actuation domain, a LAC repressor binding sequence, and a reporter-effector gene which is activated by a lac repressor.

25

16. The method of claim 12 wherein the target tissue is a tumor and the disease to be treated is cancer.

30

17. The method of claim 12 wherein the target tissue is a blood clot and the disease to be treated is myocardial infarction of the myocardium, brain, lung or other tissues.

35

-43-

18. A method of causing the expression within a host tissue of a structural gene, said method comprising:

- 5 (a) delivering *in vitro* the vector comprising a radiation responsive enhancer-promoter region and a structural gene to cells derived from the host tissue;
- 10 (b) reintroducing the cells from the host tissue to the host; and
- (c) activating the genes within the vector by exposure of the tissue to radiation.

15

19. The method of claim 18 wherein the radiation exposure is delivered at a dose of about 200 rads.

20 20. A method for destroying, altering, or inactivating cells, comprising:

- 25 (a) incorporating into the cells a genetic construct which comprises genes whose expression is inducible by ionizing radiation and whose products are capable of destroying, altering or inactivating the cells; and
- 30 (b) exposing the construct containing cells to ionizing radiation to induce the expression of genes in the genetic construct.

21. A genetic construct comprising a promoter which is
35 inducible by ionizing radiation and a structural gene.

22. The genetic construct of claim 21 wherein the structural gene is a mammalian gene.

5

23. The genetic construct of claim 21 further defined as having the inducible promoter 5' to the structural gene.

10

24. The genetic construct of claim 21 comprising the promoter for the tumor necrosis factor and the structural gene for the tumor necrosis factor.

15

25. The genetic construct of claim 21 wherein the structural gene comprises a plant toxin gene.

20

26. A vector comprising a radiation responsive enhancer-promoter region and a structural gene.

25

27. A vector comprising a radiation responsive promoter which controls the expression of a transcription factor, said transcription factor being capable of activating a genetic construct comprising a structural gene.

30

28. A method for controlling structural gene expression, said method comprising:

35

(a) preparing a genetic construct comprising the structural gene to be expressed and a mammalian genetic promoter-enhancer region which is inducible by ionizing radiation;

(b) exposing the genetic construct to ionizing radiation at a dose sufficient to induce expression of the structural gene.

5

29. The method of claim 28 wherein the promoter-enhancer region is derived from the genes coding for the c-jun or TNF- α proteins.

1/8

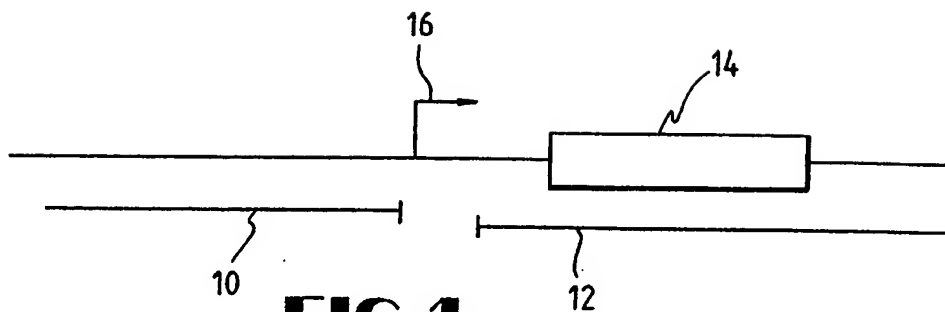


FIG. 1

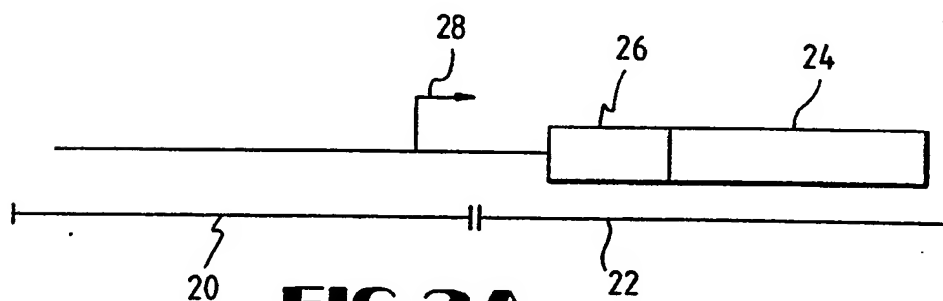


FIG. 2A

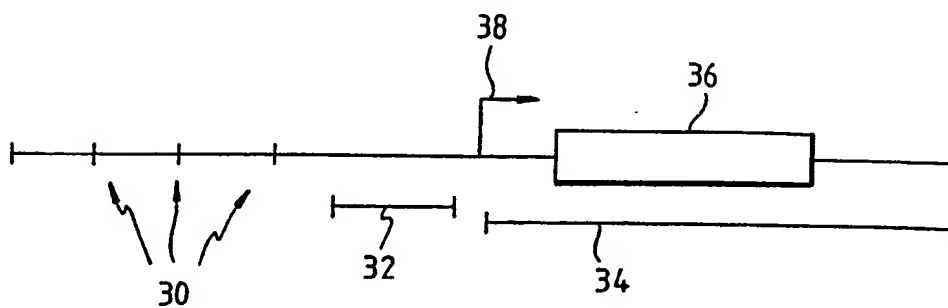
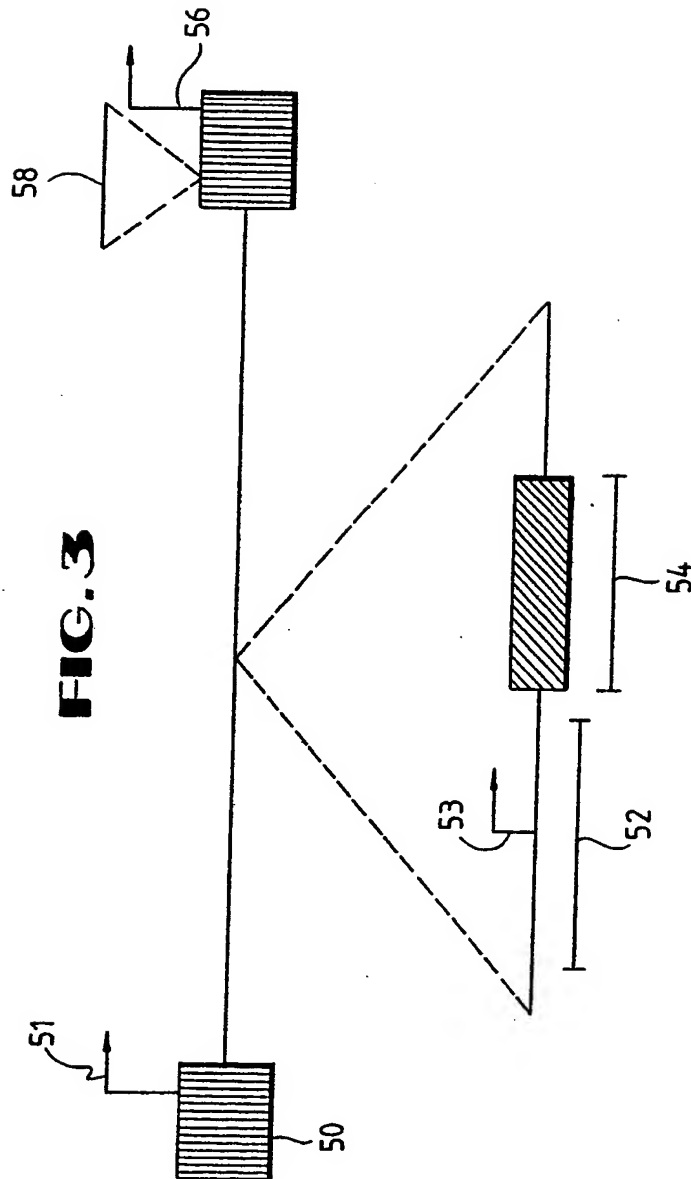


FIG. 2B

SUBSTITUTE SHEET

2/8



SUBSTITUTE SHEET

3/8

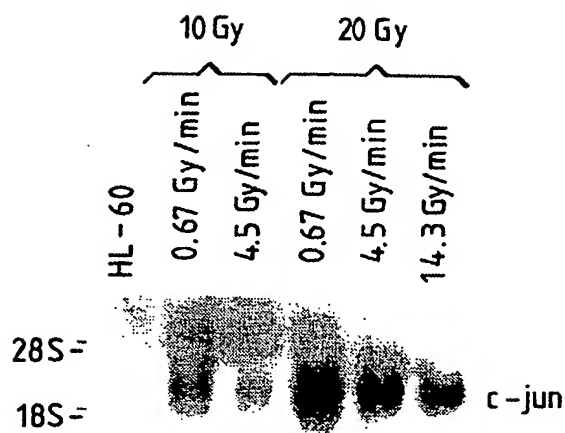


FIG. 11

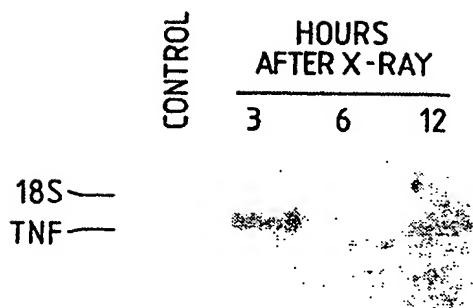


FIG. 4A

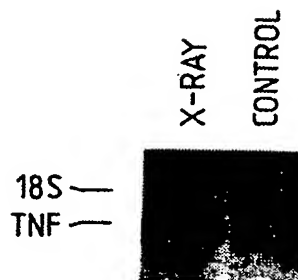


FIG. 4B

4/8

FIG. 5C

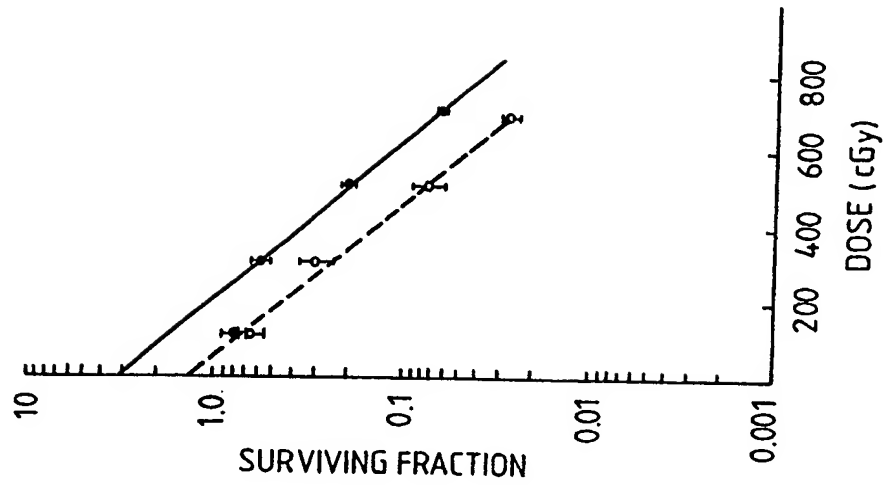


FIG. 5B

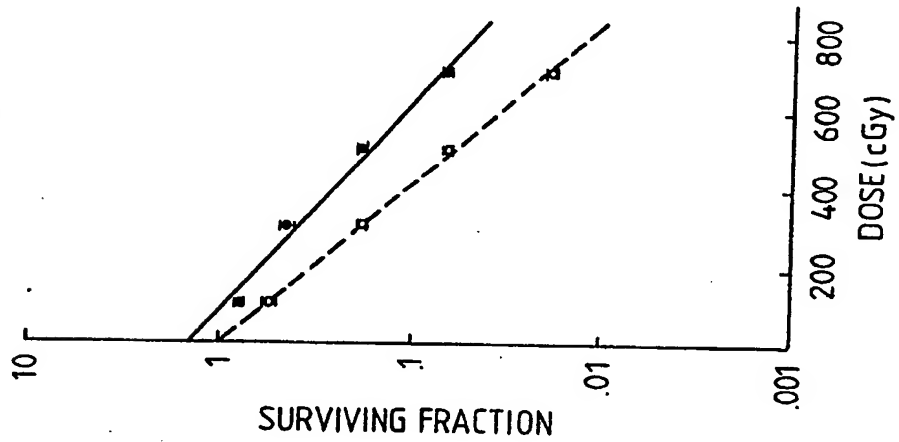
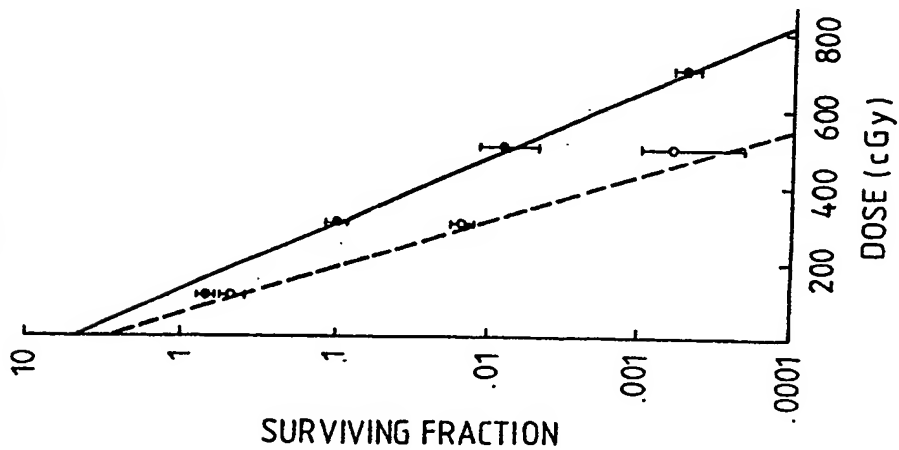
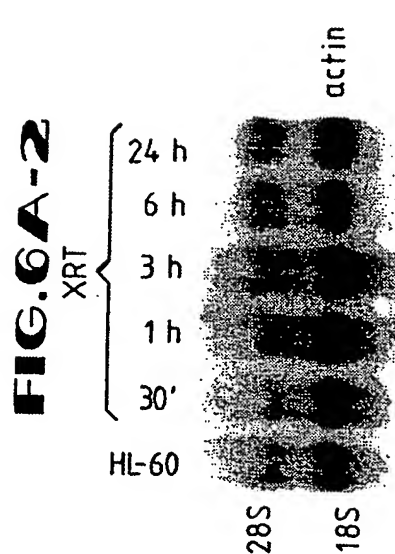
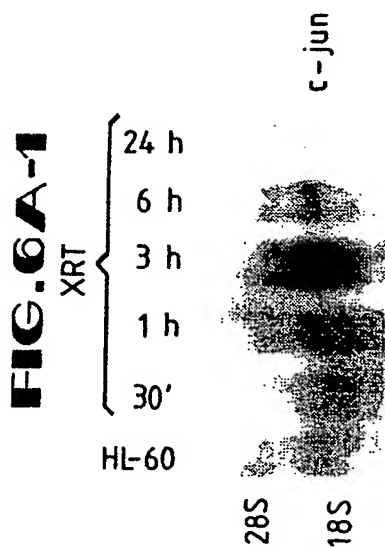
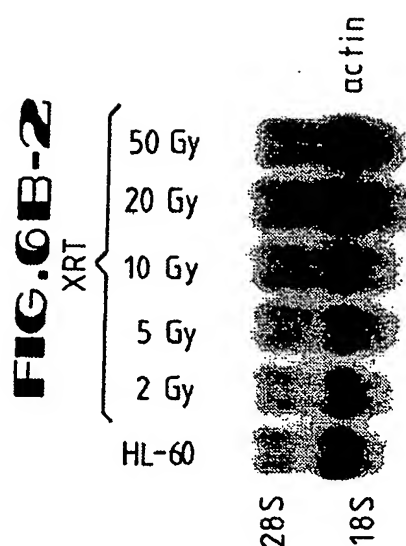
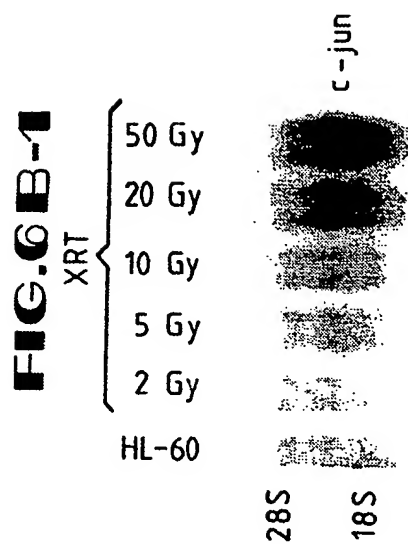


FIG. 5A

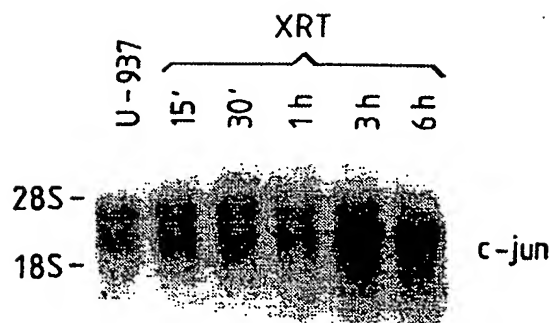
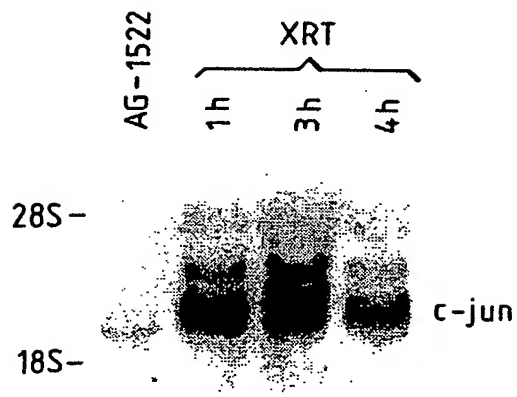


5/8

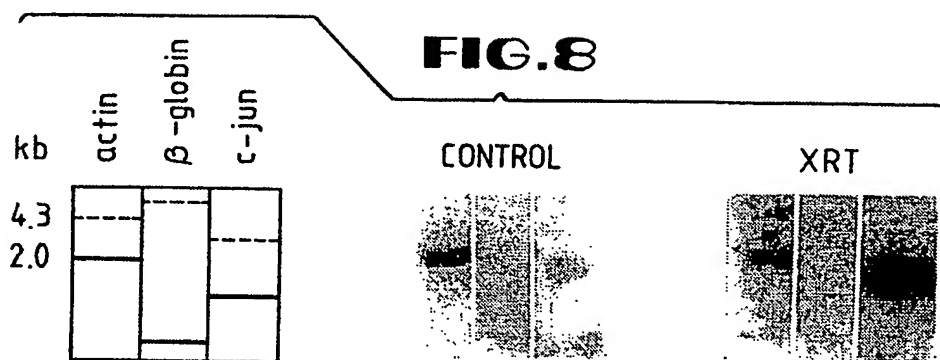
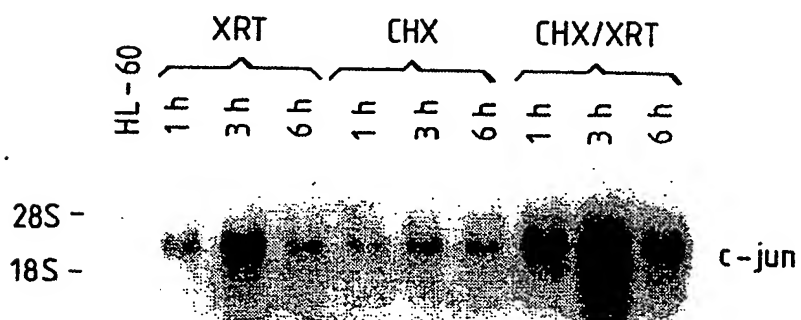
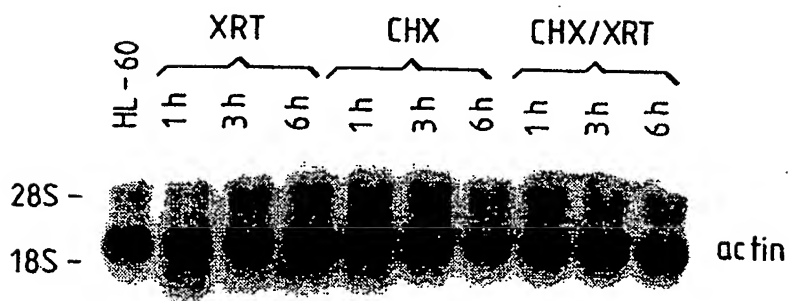


SUBSTITUTE SHEET

6/8

**FIG.7A****FIG.7B**

7/8

**FIG. 9A****FIG. 9B**

SUBSTITUTE SHEET

8/8

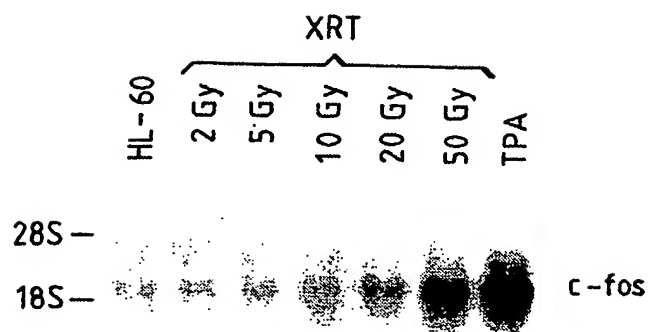


FIG.10A

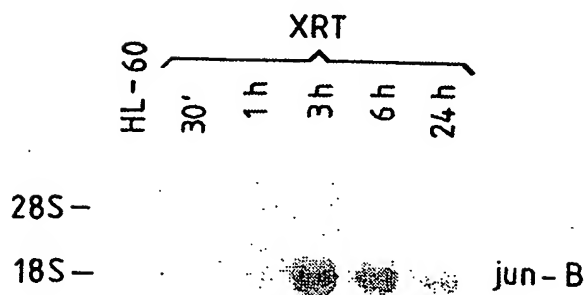


FIG.10B

INTERNATIONAL SEARCH REPORT

International Application No. PCT/US91/09651

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) ³		
According to International Patent Classification (IPC) or to both National Classification and IPC		
IPC(S): A61K 43/00; C12Q 1/68; C07H 15/12; A01N 43/04 US CL : 424/1.1; 435/6; 536/27; 514/44; 935/6, 55, 62		
II. FIELDS SEARCHED		
Minimum Documentation Searched ⁴		
Classification System	Classification Symbols	
US	424/1.1; 435/6; 536/27; 514/44; 935/6, 55, 62	
Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched ⁵		
III. DOCUMENTS CONSIDERED TO BE RELEVANT ¹⁴		
Category [*]	Citation of Document, ¹⁶ with indication, where appropriate, of the relevant passages ¹⁷	Relevant to Claim No. ¹⁸
X	Int. J. Cancer, Volume 42, issued 1988, G. Sersa et al., "Anti-Tumor Effects of Tumor Necrosis Factor alone or combined with Radiotherapy", pages 129-134, see the entire document.	1-29
X	Cancer Research, Volume 49, Number 18, issued 15 September 1989, L. Witte, et al., "Effects of irradiation on the release of growth factors from cultured bovine, porcine, and human endothelial cells", pages 5066-5072, see abstract no. 07052277.	1-29
X	Proceedings of the National Academy of Science (USA), Volume 87, issued August 1990, M.L. Sherman, et al., "Ionizing radiation regulates expression of the <u>c-jun</u> protooncogene", pages 5663-5666, see entire document.	1-29
X	Proceedings of the National Academy of Science (USA), Volume 86, issued December 1989, D.E. Hallman, et al., "Increased tumor necrosis factor mRNA pages 10104-10107", see entire document.	1-29
<p>[*] Special categories of cited documents:¹⁶</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&" document member of the same patent family</p>		
IV. CERTIFICATION		
Date of the Actual Completion of the International Search ²	Date of Mailing of this International Search Report ²	
20 March 1992	02 APR 1992	
International Searching Authority ¹	Signature of Authorized Officer ²⁰	
ISA/US	Bradley Sisson <i>A. Mame</i>	

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.